Quantitative key used for the criterion Overall potential

In order to set up a quantitative procedure for evaluating the Overall potential of a technology, three main implementation factors (with corresponding criteria) have to be considered:

- Energy efficiency performance (Criterion: Energy efficiency potential throughout fleet)
- Benefits and constraints (Criteria: Benefits (other than environmental), Barriers)
- Economic factors (Criteria: Vehicle fix costs, Vehicle running costs, Infrastructure fix costs)

This selection does not cover the whole range of criteria used in the evaluation tool. However the criteria were chosen in such a way that a comprehensive view of the technology is guaranteed:

- The main key factors are included.
- Some of the criteria considered have in itself an accumulative character such as *Benefits* or *Barriers* and therefore cover a variety of issues.

For a given technology an overall potential is derived from these criteria as follows:

Step 1: Values assigned to each criterion

The possible values of the individual criteria are represented by numbers from 1 to 4 according to the following key:

Criterion	Numbers assigned to the
	individual values
Benefits (other than	None $= 1$
environmental)	Small $= 2$
	Medium = 3
	Big $= 4$
Barriers	None = 4
	Low $= 3$
	Medium $= 2$
	High $= 1$
Energy efficiency	< 1 % = 1
potential throughout	1 - 2% = 2
fleet	2-5% = 3
	> 5 % = 4
Vehicle - fix costs	None = 4
	low = 3
	medium = 2
	high $= 1$
Vehicle - running costs	Significant reduction $= 4$
	Minor reduction $= 2$
Infrastructure - fix costs	None = 4
	Low = 3
	Medium = 2
	High = 1

Step 2: Point score

For each technology the total number of points is calculated by adding up the points of the individual criteria (*Energy efficiency* is accounted for with a weighting factor of 2):

Total score = Benefits + Barriers + 2 x Energy efficiency potential + Vehicle fix costs + Vehicle running costs + Infrastructure fix costs

Step 3: Overall potential

Step 2 yields a number between 8 and 28. From this total score an overall potential is derived according to the following key:

< 14	\rightarrow	Not promising
14 - 16	\rightarrow	Interesting
17 - 19	\rightarrow	Promising
>19	\rightarrow	Very promising

Step 4: Plausibility check

The result is checked for plausibility using the criteria not considered in the algorithm as a qualitative background. In a limited number of cases, this step will lead to a modification of the result from Step 3.

Note: The technology database contains a number of energy efficiency strategies that are concepts rather than technologies (e.g. LCC-oriented procurement). It is evident that for these database entries the above quantitative procedure involving such criteria as *Vehicle fix costs* is not applicable. In these cases the overall potential is evaluated in a more heuristic way.

© UIC - International Union of Railways 2003